

BENCHMARK SURVEY  
OF FOREIGN DIRECT  
INVESTMENT IN THE  
UNITED STATES — 1997  
(SHORT FORM)

MAIL  
REPORTS  
TO

U.S. Department of Commerce  
Bureau of Economic Analysis  
BE-49(A)  
Washington, DC 20230

DELIVER  
REPORTS  
TO

U.S. Department of Commerce  
Bureau of Economic Analysis  
BE-49(A)  
Shipping and Receiving  
Section M100  
1441 L Street, NW  
Washington, DC 20005

A single original copy of this report shall be filed with the Bureau of Economic Analysis; this should be the copy with the address label, if such a labeled copy has been provided.

Important

Read **Instruction Booklet** before completing form. The instructions given below are only a brief summary of certain ones relating to this form.

**Banks and bank holding companies** — See **Instruction Booklet**, page 9 for special instructions.

**Insurance companies** — See **Instruction Booklet**, page 8, for special instructions.

**1. WHO MUST REPORT** — A Form BE-12(SF) report is required for each nonbank U.S. affiliate, fully consolidated as required, of a foreign person if —

- a.** At the end of, or for, its 1997 fiscal year, **any one** or more of the following three items for the U.S. affiliate, exceeded \$3 million (positive or negative), but no one item exceeded \$100 million (positive or negative) —

- (1)** Total assets, or  
**(2)** Sales or gross operating revenues, excluding sales taxes, or  
**(3)** Net income after provision for U.S. income taxes; **and**

- b.** The business enterprise was a U.S. affiliate of a foreign person at the end of its 1997 fiscal year.

If any one of the three items above exceeds \$100 million (positive or negative), the U.S. affiliate must file Form BE-12(LF), the long form.

See **Instruction Booklet** for reporting requirements, page 5, and for definitions of affiliate and U.S. affiliate, page 6.

**2. U.S. AFFILIATE'S 1997 FISCAL YEAR** — The affiliate's financial reporting year that has an ending date in calendar year 1997.

**3. CONSOLIDATED REPORTING** — A U.S. affiliate shall file on a fully consolidated basis, including in the consolidation all other **U.S. affiliates** in which it directly or indirectly owns more than 50 percent of the outstanding voting interest. Hereinafter, the fully consolidated entity is considered to be one U.S. affiliate. See **Instruction Booklet**, page 7.

**4. ASSISTANCE** — Telephone (202) 606-5577 during office hours – 8:30 a.m. to 4:30 p.m. eastern time.

**5. DUE DATE** — A completed report on Form BE-12(SF) shall be due no later than May 31, 1998.

GENERAL NOTES

- a.** Figures such as the number of acres and the number of employees should be reported to the nearest whole unit.
- b.** Currency amounts should be reported in U.S. dollars rounded to thousands (omitting 000). **Do not enter** amounts in the shaded portions of each line.

**EXAMPLE** — If amount is **\$1,334,615.00**, report as

Bil.	Mil.	Thous.	Dols.
1	335		

- c.** If an item is between + or – \$500.00 enter "0."
- d.** Use parentheses to indicate negative numbers.
- e.** All questions should be answered in the context of the reporting period given in item 5.

BEA USE ONLY

Control number

Public reporting burden for this short form is estimated to vary from 1 to 7 hours per response, with an average of 3 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Director, Bureau of Economic Analysis (BE-1), U.S. Department of Commerce, Washington, DC 20230; and to the Office of Management and Budget, Paperwork Reduction Project 0608-0042, Washington, DC 20503.

**MANDATORY** — This survey is being conducted pursuant to the International Investment and Trade In Services Survey Act (P.L. 94-472, 22 U.S.C. 3101–3108, as amended by P.L. 98-573 and P.L. 101-533 – hereinafter "the Act"), and the filing of reports is mandatory pursuant to Section 5(b)(2) of the Act (22 U.S.C. 3104).

**CONFIDENTIALITY** — The Act provides that your report to this Bureau is CONFIDENTIAL and may be used only for analytical or statistical purposes. Without your prior written permission, the information filed in your report CANNOT be presented in a manner that allows it to be individually identified. Your report CANNOT be used for purposes of taxation, investigation, or regulation. Copies retained in your files are immune from legal process.

Part I

Section A — IDENTIFICATION OF U.S. AFFILIATE

**1. Name and address of U.S. affiliate** — An address label, if affixed, shows, among other things, the name and address of this U.S. affiliate, as known to BEA. If the name and address are correct, write "same" on the label below. If there are any changes in the name or address on the label, make the changes directly on the label. If no label has been affixed, enter the name and address in full; skip a single block between words.

Name of U.S. Affiliate

1002 1

c/o (care of)

1010 1

Street or PO Box

1003 1

City and State

1004 1

ZIP Code

Foreign Postal Code

1005 1 2

OR

**2. Location of U.S. affiliate** — If the mailing address in item 1 is in care of someone other than the U.S. affiliate, e.g., a CPA firm, a law firm, or some other intermediary, give the name and location of the primary U.S. headquarters of the affiliate. For U.S. affiliates that are real estate investments with no U.S. headquarters, give the name (if any) and location of the real estate. (If the real estate is in more than one location give the name and location of the real estate with the largest gross book value.)

Name of U.S. Affiliate

1300 1

Street or PO Box

1301 1

City and State

1302 1

ZIP Code

1303 1

**3. Is more than 50 percent of the ownership interest in this U.S. affiliate owned by another U.S. affiliate of your foreign parent?**

1400 1 1 Yes  
2 1 No

If the answer is "Yes" — *Do not complete this report unless this affiliate qualifies for filing separately and has obtained permission from BEA to do so. Note, however, that nonbank affiliates of a bank affiliate must file separately regardless of the ownership interest; see **Instruction Booklet**, page 9. Otherwise, the report must reflect information and data for, and be filed in the name of, the fully consolidated U.S. business enterprise meeting the definition of U.S. affiliate. Please forward this Form BE-12 survey packet to the U.S. business enterprise owning your company to the extent of more than 50 percent, and notify BEA of the action taken by filing Form **BE-12(X)** with item **2(c)** completed.*

**4. Enter Employer Identification Number(s) used by U.S. affiliate to file income and payroll taxes.**

Primary

Other

1006 1 - 2 -

REPORTING PERIOD

This U.S. affiliate's 1997 fiscal year ends on 1007

Month	Day	Year
1		

**Example** — If the fiscal year ends on March 31, report for the 12 month period ending March 31, **1997**.

**6. Did any one** of the following three items – total assets, sales or gross operating revenues, excluding sales taxes, or net income (loss) – exceed \$30 million at the end of, or for, the U.S. affiliate's 1997 fiscal year?

1100 1 1 Yes — On the remainder of this BE-12 short form, complete ONLY items 21 through 84, and Supplements A and B, on pages 3 through 11. DO NOT complete items 7 through 20 on page 2

2 1 No — On the remainder of this BE-12 short form, complete ONLY items 7 through 20, on page 2. DO NOT complete items 21 through 84, or Supplements A and B on pages 3 through 11.

**PENALTIES** — Whoever fails to report shall be subject to a civil penalty of not less than \$2,500, and not more than \$25,000, and to injunctive relief commanding such person to comply, or both. Whoever willfully fails to report shall be fined not more than \$10,000 and, if an individual, may be imprisoned for not more than one year, or both. Any officer, director, employee, or agent of any corporation who knowingly participates in such violations, upon conviction, may be punished by a like fine, imprisonment or both. (22 U.S.C. 3105)

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

PERSON TO CONSULT CONCERNING QUESTIONS ABOUT THIS REPORT — Enter name and address

Name 1000 1

Address

TELEPHONE  
NUMBER

1001

1

Area code

2

Number

3

Extension

FAX NUMBER

4

Area code

5

Number

**CERTIFICATION** — The undersigned official certifies that this report has been prepared in accordance with the applicable instructions, is complete, and is substantially accurate except that, in accordance with III.M. of the **Instruction Booklet**, estimates have been provided where data are not available from customary accounting records or precise data could not be obtained without undue burden.

Authorized official's signature

Print or type name and title

Date



Part I

IDENTIFICATION OF U.S. AFFILIATE — Continued

NOTE: Complete items 21 through 84 and Supplements A and B ONLY if the answer to item 6 is "Yes"

Section C — OWNERSHIP AND INDUSTRY CLASSIFICATION OF U.S. AFFILIATE

21. Did the U.S. business enterprise become a U.S. affiliate during its 1997 fiscal year?

1008

1

1

2

Yes

No

If the answer is "Yes" — Enter date U.S. business enterprise became a U.S. affiliate.

1009

Month

Day

Year

1

NOTE — For a U.S. business enterprise that became a U.S. affiliate during its 1997 fiscal year, the close FY 1996 data columns should all be zero.

22. Is the U.S. affiliate named in item 1 above separately incorporated in the United States, including its territories and possessions? — Mark (X) one

1011

1

1

2

Yes

No

23. U.S. affiliates fully consolidated in this report

If this report is for a single unconsolidated U.S. affiliate, enter "1" in the box below. If more than one U.S. affiliate is fully consolidated in this report, enter the number of U.S. affiliates fully consolidated. (Hereinafter, they are considered to be one U.S. affiliate.) Exclude all minority-owned U.S. business enterprises, and all foreign business enterprises owned by this U.S. affiliate, from the full consolidation; such affiliates must be included in this report on the equity basis, or cost basis if less than 20 percent owned. See consolidation instructions in the Instruction Booklet, page 7. (Note that all more-than-50-percent-owned U.S. affiliates must be fully consolidated in this report unless permission has been received from BEA to do otherwise; those not fully consolidated must file a separate Form BE-12(SF) or Form BE-12(LF).)

1012

1

Number — If number is greater than one, Supplement A must be completed.

24. U.S. affiliates NOT fully consolidated

Number of U.S. affiliates in which this U.S. affiliate has an ownership interest that ARE NOT fully consolidated in this report.

1013

1

Number — If number is not zero, Supplement B must be completed. The U.S. affiliate named in item 1 must include data for such U.S. affiliates in this report on an equity basis, or cost basis if less than 20 percent owned, and must notify such other U.S. affiliates of their obligation to file a Form BE-12(SF) or Form BE-12(LF) in their own name.

Ownership — Enter percent of ownership, to a tenth of one percent, based on voting stock if an incorporated affiliate or an equivalent interest if an unincorporated affiliate, in the U.S. affiliate held directly by —

All foreign parents of this affiliate — Give name of each (if more than 2, continue on a separate sheet.)

25.

1017

26.

1018

27.

1063

28.

1064

29. All other persons (do not list names)

1061

TOTAL of directly held voting ownership interests — Sum of items 25 through 29

100.0%

100.0%

30. Major activity of fully consolidated U.S. affiliate — Briefly describe the major product or service of the U.S. affiliate. If a product, also state what is done to it, i.e., whether it is mined, manufactured, sold at wholesale, transported, packaged, etc.

Industry classification of fully consolidated U.S. affiliate (based on sales or gross operating revenues) — Enter the 4-digit 1997 ISI code(s) and the sales (as defined in item 36) associated with each code. If you use fewer than four codes, you must account for total sales. For a full explanation of each code, see the Guide to Industry and Foreign Trade Classifications for International Surveys. For an inactive affiliate, show the industry classification(s) pertinent to the last active period; for "start-ups" with no sales, show the intended activity(ies).

Holding companies should show total income. Note, however, that a U.S. affiliate that is a conglomerate must determine its industry code based on the activities of the fully consolidated U.S. business enterprise. The "holding company" classification (i.e., 1997 ISI code 5512), therefore, is often an invalid industry classification for a conglomerate. Call BEA for further assistance if this is the U.S. affiliate's apparant classification.

31. Enter code with largest sales

1164

1

32. Enter code with 2nd largest sales

1165

1

33. Enter code with 3rd largest sales

1166

1

34. Enter code with 4th largest sales

1167

1

35. Sales not accounted for above — Item 34 must have an entry

1176

36. TOTAL SALES — Gross sales minus returns, allowances, and discounts, or gross operating revenues, both exclusive of sales or consumption taxes levied directly on the consumer and excise taxes levied directly on manufacturers, wholesalers, and retailers. — Equals sum of items 31 through 35, column (2)

1174

1

1997 ISI code

(1)

Sales

(2)

Bil.

Mil.

Thous.

Dols.

FORM BE-12(SF) (REV. 11/97)

Page 3

Part II		SELECTED FINANCIAL AND OPERATING DATA OF U.S. AFFILIATE								
		Report all amounts in thousands of U.S. dollars.								
Section A — BALANCE SHEET ITEMS		Balances close FY 1997 (1)								
NOTE — Report equity investment in all unconsolidated U.S. affiliates and foreign business enterprises owned 20 percent or more (including those that are majority-owned) on an equity basis to include equity in undistributed earnings since acquisition; for U.S. affiliates and foreign business enterprises owned less than 20 percent, report at cost.		Bil. Mil. Thous. Dols.								
37. Total assets		1								
2109		\$								
38. Total liabilities		1								
2114										
39. Total owners' equity		1								
2120		\$								
Section B — OTHER FINANCIAL AND OPERATING DATA		Amount (1)								
		Bil. Mil. Thous. Dols.								
		1								
40. Net income (loss) — After provision for U.S. Federal, State, and local income taxes		2159								
		\$								
41. Total employee compensation for FY 1997 — Employees' gross earnings (before payroll deductions). Include all direct and in-kind payments by the employer to employees, and employer expenditures for all employee benefit plans, including those required by statute, such as employer's Social Security taxes, those resulting from collective bargaining contracts, and those that are voluntary. Compensation data should be based on payroll records. They should relate to activities during the reporting period regardless of whether such activities were charged as an expense on the income statement, charged to inventories, or capitalized. DO NOT include data related to activities of a prior period, such as those capitalized or charged to inventories in prior periods. See Instruction Booklet, page 13.		2253								
		1								
42. Expenditures for property, plant, and equipment for FY 1997 — Include expenditures for land, mineral rights, plant, equipment, and other property, irrespective of where carried on the balance sheet. Include the net book value of transfers in, and capitalized and expensed exploration and development expenditures. Do not include expenditures made in prior years that are reclassified in the current year. Do not net sales, other dispositions, or other charges against expenditures.		2361								
		1								
43. Research and development (R&D) expenditures performed by the U.S. affiliate — All R&D performed BY the U.S. affiliate for its own account or for others, including the foreign parent and foreign affiliates of the foreign parent. Exclude the cost of all R&D funded by the U.S. affiliate but performed by others, such as the U.S. affiliate's allocated share of R&D performed by the foreign parent or foreign affiliates of the foreign parent.  Include all costs incurred in performing R&D, including depreciation, amortization, wages and salaries, taxes, materials and supplies, overhead — whether or not allocated to others — and all other indirect costs.		2403								
		1								
NOTE — U.S. merchandise trade for FY 1997 must be reported on a "shipped" basis, irrespective of to or from whom the shipments were billed or "charged." The "charged" basis may be used only if there is no material difference between it and the "shipped" basis. See Instruction Booklet, page 14.		1								
44. TOTAL EXPORTS, INCLUDING CAPITAL GOODS — Shipped by U.S. affiliate to foreigners (valued f.a.s. U.S. port) in FY 1997. —————→		2502								
		\$								
45. TOTAL IMPORTS, INCLUDING CAPITAL GOODS — Shipped to U.S. affiliate by foreigners (valued f.a.s. foreign port) and received in FY 1997. —————→		2515								
		\$								
46. Acres of land owned — Number of acres of all U.S. land owned at close of FY 1997 wherever carried on the balance sheet. Include acres of land on capital lease from others. Exclude acres of mineral rights owned or leased.		2354								
		Number								
		1								
47. Gross book value of land owned — Gross book value of all land at historical cost at close of FY 1997 wherever carried on the balance sheet. Include the value of land on capital lease from others. Exclude the value of mineral rights owned or leased.		2356								
		Bil. Mil. Thous. Dols.								
		1								
		\$								
		1								
		2599								
		BEA USE ONLY								
Section C — SCHEDULE OF EMPLOYMENT, LAND, AND OTHER PROPERTY, PLANT, AND EQUIPMENT, BY LOCATION										
Complete the schedule below for the five primary States in which the U.S. affiliate has reportable data. If the U.S. affiliate has activities in more than five States, report those five States for which the gross book value of all land and other property, plant, and equipment (column (5)) is largest. If column (5) is zero or insignificant, use the numbers of employees at yearend (column (3)), to determine the five primary States.					balance sheet accounts. Include land held for resale, held for investment purposes, and all other land owned. Land and other property, plant, and equipment on capital lease from others should be included, but that on capital lease to others should be excluded.					
In column (3), include all employees on the payroll at the end of FY 1997, including part-time employees. A count taken at some other date during the reporting period may be given provided it is a reasonable proxy for the number on the payroll at the end of FY 1997. See Instruction Booklet, page 13 concerning reporting when employment is subject to unusual variations.					In column (6), include the value of buildings and all associated land leased or rented to others and the value of commercial property you own and use or operate. Commercial property includes apartment buildings, office buildings, hotels, motels, and buildings used for wholesale, retail, and services trades, such as shopping centers, recreational facilities, department stores, bank buildings, restaurants, public garages, and automobile service stations. Include the value of the land associated with these buildings. Exclude property you use for agricultural, mining, manufacturing or other industrial purposes, property that is used to support these activities, such as research labs and warehouses, and office buildings located at industrial sites (office buildings owned by an industrial company but not located at an industrial site should be included in column (6)). Also exclude educational buildings, hospital and institutional buildings, and all undeveloped land.					
In column (4), include all employees on the payrolls of operating manufacturing plants in the State. Administrative office and other auxiliary employees located at an operating plant and that serve only that plant should be included. Exclude all other employees on the payrolls of administrative offices or other auxiliary units. See instruction Booklet, page 13.										
Column (5), land and other property, plant, and equipment covers all such items, whether carried as investments, in fixed asset accounts, or in other										
STATE — Enter name		BEA USE ONLY	Number of employees at close FY 1997	The portion of employees in column (3) that are manufacturing employees	Gross book value (historical cost) of all land and other property, plant, and equipment wherever carried on balance sheet, FY 1997 closing balance.			The portion of column (5) that is commercial property		
(1)		(2)	(3)	(4)	(5)			(6)		
			Number	Number	Bil.	Mil.	Thous.	Bil.	Mil.	Thous.
48.		2	3	4	5			6		
					\$			\$		
49.		2	3	4	5			6		
50.		2	3	4	5			6		
51.		2	3	4	5			6		
52.		2	3	4	5			6		
53. Other states	2760		3	4	5			6		
54. TOTAL — Sum of items 48 through 53	2700	2	3	4	5			6		
					\$			\$		
54a. Number of employees included in line 54 column 3 that are on the payrolls of administrative offices or other auxiliary units. Exclude administrative and auxiliary employees that are located at an operating unit and serve only that operating unit. See Instruction Booklet, page 13.					Number					
					3					
					1178					





Part III INVESTMENT AND TRANSACTIONS BETWEEN U.S. AFFILIATE AND FOREIGN PARENT AND BETWEEN U.S. AFFILIATE AND FOREIGN AFFILIATES OF THE FOREIGN PARENT (FAFP) — Continued														
Section B — INVESTMENT BETWEEN U.S. AFFILIATE AND FOREIGN PARENT NAMED IN ITEM 38, AND BETWEEN U.S. AFFILIATE AND FAFP, ACCORDING TO BOOKS OF THE U.S. AFFILIATE						CLOSING BALANCE								
						FY 1997 (1)				FY 1996 (2)				
						Bil.	Mil.	Thous.	Dols.	Bil.	Mil.	Thous.	Dols.	
66. Liabilities owed by U.S. affiliate to foreign parent named in item 56 and to FAFP — current and long-term3056						1				2				
						\$				\$				
67. Receivables due to U.S. affiliate from foreign parent named in item 56 and from FAFP — Current and long-term. Include certificates of deposit and other deposits (that would otherwise be included in cash on your balance sheet) held by the foreign parent or foreign affiliates of the foreign parent.3057						1				2				
• OWNERS' EQUITY ITEMS — Foreign parent's equity in —						1				2				
68. Capital stock and additional paid-in capital — Common and preferred, voting and non-voting capital stock and additional paid-in capital.3058						1				2				
69. Retained earnings (deficit)3060						1				2				
70. Other, including translation adjustment and treasury stock — Specify ↘ <div></div> 3062						1				2				
• FOREIGN PARENT'S SHARE OF TOTAL OWNERS' EQUITY OF INCORPORATED OR UNINCORPORATED U.S. AFFILIATE —														
71. Sum of items 68 through 70 for incorporated U.S. affiliates and those unincorporated U.S. affiliates for which this breakdown is available. For those unincorporated U.S. affiliates that cannot provide a breakdown for items 68 through 70, report foreign parent's share of total owners' equity, item 39.3063						1				2				
						\$				\$				
BEA USE ONLY				DI position3064		1				2				
						\$				\$				
Section C — CHANGES IN EQUITY HOLDINGS IN THE U.S. AFFILIATE BY THE FOREIGN PARENT NAMED IN ITEM 56														
Report transactions during FY 1997 by the foreign parent named in item 56 that changed its equity holdings in the U.S. affiliate. Exclude changes caused by carrying net income to the equity account, the payment of stock or cash dividends (other than liquidating dividends), or the distribution of earnings during the period. Exclude the effect of treasury stock transactions with persons other than the foreign parent and reorganizations in capital structure that do not affect total equity. REPORT ALL AMOUNTS AT TRANSACTIONS VALUE, i.e., the value of the consideration given (received) by the foreign parent.											Amount (1)			
											Bil.	Mil.	Thous.	Dols.
72. Increase by foreign parent of equity interest in U.S. affiliate3065											1			
											\$			
73. Decrease by foreign parent of equity interest in U.S. affiliate3066											1			
74. TOTAL — Equals item 72 minus item 733071											1			
											\$			
• For item 74, enter the amounts by which the transaction value —						For acquisition (1)				For liquidation or sale (2)				
						Bil.	Mil.	Thous.	Dols.	Bil.	Mil.	Thous.	Dols.	
75. exceeds the value carried on the books of the U.S. affiliate3090						1				2				
						\$				\$				
76. is less than the value carried on the books of the U.S. affiliate3091						1				2				
						\$				\$				
Section D — PAYMENTS AND RECEIPTS OF DIVIDENDS, DISTRIBUTED EARNINGS, INTEREST, ROYALTIES AND LICENSE FEES, AND OTHER SERVICES BETWEEN U.S. AFFILIATE AND FOREIGN PARENT AND BETWEEN U.S. AFFILIATE AND FAFP						Net payments or credits by U.S. affiliate to foreign parent and FAFP (after deduction of U.S. tax withheld) (1)				Net receipts by or credits to U.S. affiliate from foreign parent and FAFP (after deduction of foreign tax withheld) (2)				
Enter amounts received, paid, or entered into intercompany accounts, whichever occurred first. Include amounts for which payment was made in kind. For an item entered into an intercompany account prior to the reporting period, any subsequent settlement of the account should not be reported in the items below, but should be reflected only as a reduction in an intercompany account (items 66 and 67).						Bil.				Bil.				
						Mil.	Mil.	Thous.	Thous.	Dols.	Dols.			
77. Dividends or distributed earnings — Dividends on common and preferred stock, of incorporated U.S. affiliate, or distributed earnings of unincorporated U.S. affiliate, excluding stock and liquidating dividends.3073						1								
						\$								
78. Interest — Include interest on capital leases.3076						1				2				
										\$				
79. Royalties, license fees, and other fees for the use or sale of intangible property3077						1				2				
80. Charges for use of tangible property — Include rentals for operating leases of one year or less and net rent on operating leases of more than one year. Net rent is equivalent to the total lease payment less the return of capital (depreciation) component. Include film and television tape rentals.3082						1				2				
81. Allocated expenses and sales of services — Include: (1) allocated expenses or reimbursements for management, professional, technical, or other services that would normally be included in "other income" in the income statement of the provider of the service and (2) payments by the U.S. affiliate to, or receipts by the U.S. affiliate from, the foreign parent or FAFP for services that are separately billed and that would normally be included in gross operating revenues of the seller of the service (item 36, column 2, of this form for the U.S. affiliate).3083						1				2				
						\$				\$				
NOTE: Complete item 82 ONLY if item 81, column 1 or 2, has an entry						Service number								
82. Allocated expenses and sales of services by type — Enter the service number, from the chart below, which represents the predominant type of service reported in item 81.3110						1				2				
83. BEA USE ONLY3084														
BEA USE ONLY	3200	1	2	3	4	Service number	Type of service							
							1	Insurance						
					2		Financial							
					3		Transportation							
					4		Computer and information							
					5		Communication							
					6		All other							
3201	1	2	3	4										

Part III		INVESTMENT AND TRANSACTIONS BETWEEN U.S. AFFILIATE AND FOREIGN PARENT AND BETWEEN U.S. AFFILIATE AND FOREIGN AFFILIATES OF THE FOREIGN PARENT (FAFP) — Continued			
Section E — FOREIGN PARENT’S EQUITY IN U.S. AFFILIATE’S NET INCOME		Amount (1)			
		Bil.	Mil.	Thous.	Dols.
84. Foreign parent’s direct equity in U.S. affiliate’s net income (loss) after provision for U.S. Federal, State, and local income taxes — Enter the foreign parent’s share of item 40. based on the foreign parent’s percentage of direct equity (item 58b) in the U.S. affiliate during FY 1997.		1			
3085		\$			
FOREIGN PARENT AND UBO INDUSTRY CODES					
01 Government and government-owned or -sponsored enterprise, or quasi-government organization or agency					
02 Pension fund — Government run					
03 Pension fund — Privately run					
04 Estate, trust, or nonprofit organization (that part of 5252 that is estates and trusts)					
05 Individual					
Private business enterprise, investment organization, or group engaged in:					
06 Petroleum and natural gas: exploration, development, and extraction; oil and gas field services; refining; transport; storage; and wholesale and retail trade (1997 ISI codes 2111, 2132, 3242–3244, 4227, 4471, 4833, 4863, and 4932)					
NOTE — All industries listed below exclude petroleum subindustries included in "Petroleum and natural gas," as defined above.					
07 Agriculture, forestry, fishing and hunting (1997 ISI codes 1110–1140)					
08 Mining (1997 ISI codes 2121–2127)					
09 Construction (1997 ISI codes 2330–2350)					
10 Transportation and warehousing (1997 ISI codes 4810–4939, except 4833, 4863, and 4932)					
11 Utilities (1997 ISI codes 2211–2213)					
12 Wholesale and retail trade (1997 ISI codes 4211–4229 except 4227 and 4410–4540, except 4471)					
13 Banking, including bank holding companies (1997 ISI codes 5221 and 5229)					
14 Holding companies (1997 ISI codes 5512 and 5513)					
15 Other finance and insurance (1997 ISI codes 5223, 5524, 5231–5249, that part of 5252 that is not estates and trusts, and 5331)					
16 Real estate (1997 ISI code 5310)					
17 Information (1997 ISI codes 5111–5142)					
18 Professional, scientific, and technical services (1997 ISI codes 5411–5419)					
19 Other services (1997 ISI codes 1150, 2133, 5321, 5329, and 5611–8130)					
Manufacturing, including fabricating, assembling, and processing of goods					
20 Food (1997 ISI codes 3111–3119)					
21 Beverages and tobacco products (1997 ISI codes 3121 and 3122)					
22 Pharmaceuticals and medicine (1997 ISI code 3254)					
23 Other chemicals (1997 ISI codes 3251–3259, except 3254)					
24 Nonmetallic mineral products (1997 ISI codes 3271–3279)					
25 Primary and fabricated metal products (1997 ISI codes 3311–3329)					
26 Computer and electronic products (1997 ISI codes 3341–3346)					
27 Machinery manufacturing (1997 ISI codes 3331–3339)					
28 Electrical equipment, appliances and components (1997 ISI codes 3351–3359)					
29 Motor vehicles and parts (1997 ISI codes 3361–3363)					
30 Other transportation equipment (1997 ISI codes 3364–3369)					
31 Other manufacturing (1997 ISI codes 3130–3231, 3261, 3262, 3370–3399)					

FORM <b>BE-12(SF) Supplement A</b> (REV. 11/97)		U.S. DEPARTMENT OF COMMERCE BUREAU OF ECONOMIC ANALYSIS		<b>BEA USE ONLY</b>										Page number	
<b>LIST OF ALL U.S. AFFILIATES FULLY CONSOLIDATED INTO THE REPORTING U.S. AFFILIATE</b>  NOTE – If you filed a Supplement A or a computer printout of Supplement A with your 1996 BE-15 report, in lieu of completing a new Supplement A, you may substitute a copy of that Supplement A or computer printout which has been updated to show any additions, deletions, or other changes.				Name of U.S. affiliate as shown in item 1, Part I of BE-12(SF)											
Supplement A must be completed by a reporting affiliate which consolidates financial and operating data of any other U.S. affiliate(s). The number of U.S. affiliates listed below plus the reporting U.S. affiliate must agree with item 23, Part I of BE-12(SF). Continue listing onto as many additional copied pages as necessary.				Primary Employer Identification Number as shown in item 4, Part I of BE-12(SF) 5110 1 –											
<b>BEA USE ONLY</b>	Name of each U.S. affiliate consolidated (as represented in item 23, Part I)		Employer Identification Number used by U.S. affiliate named in column (2) to file income and payroll taxes	Name of U.S. affiliate which holds the direct ownership interest in the U.S. affiliate named in column (2)		Percentage of direct ownership which the U.S. affiliate named in column (4) holds in the U.S. affiliate named in column (2). – <i>Enter percentage to nearest tenth.</i>									
(1)	(2)		(3)	(4)		(5)									
5111 <sup>1</sup>		2	3 –	4		5 . %									
5112 <sup>1</sup>		2	3 –	4		5 . %									
5113 <sup>1</sup>		2	3 –	4		5 . %									
5114 <sup>1</sup>		2	3 –	4		5 . %									
5115 <sup>1</sup>		2	3 –	4		5 . %									
5116 <sup>1</sup>		2	3 –	4		5 . %									
5117 <sup>1</sup>		2	3 –	4		5 . %									
5118 <sup>1</sup>		2	3 –	4		5 . %									
5119 <sup>1</sup>		2	3 –	4		5 . %									
5120 <sup>1</sup>		2	3 –	4		5 . %									
5121 <sup>1</sup>		2	3 –	4		5 . %									
5122 <sup>1</sup>		2	3 –	4		5 . %									
5123 <sup>1</sup>		2	3 –	4		5 . %									
5124 <sup>1</sup>		2	3 –	4		5 . %									
5125 <sup>1</sup>		2	3 –	4		5 . %									
5126 <sup>1</sup>		2	3 –	4		5 . %									
5127 <sup>1</sup>		2	3 –	4		5 . %									
5128 <sup>1</sup>		2	3 –	4		5 . %									
5129 <sup>1</sup>		2	3 –	4		5 . %									
5130 <sup>1</sup>		2	3 –	4		5 . %									
5131 <sup>1</sup>		2	3 –	4		5 . %									
5132 <sup>1</sup>		2	3 –	4		5 . %									
5133 <sup>1</sup>		2	3 –	4		5 . %									



BE-12(SF) Supplement A – LIST OF ALL U.S. AFFILIATES FULLY CONSOLIDATED INTO THE REPORTING U.S. AFFILIATE – Continued															Page number																	
BEA USE ONLY			Name of each U.S. affiliate consolidated (as represented in item 23, Part I)												Employer Identification Number used by U.S. affiliate named in column (2) to file income and payroll taxes			Name of U.S. affiliate which holds the direct ownership interest in the U.S. affiliate named in column (2)												Percentage of direct ownership which the U.S. affiliate named in column (4) holds in the U.S. affiliate named in column (2). – Enter percentage to nearest tenth.		
(1)			(2)												(3)			(4)												(5)		
1															3	–														5		
5134															3	–														5		
1															3	–														5		
5135															3	–														5		
1															3	–														5		
5136															3	–														5		
1															3	–														5		
5137															3	–														5		
1															3	–														5		
5138															3	–														5		
1															3	–														5		
5139															3	–														5		
1															3	–														5		
5140															3	–														5		
1															3	–														5		
5141															3	–														5		
1															3	–														5		
5142															3	–														5		
1															3	–														5		
5143															3	–														5		
1															3	–														5		
5144															3	–														5		
1															3	–														5		
5145															3	–														5		
1															3	–														5		
5146															3	–														5		
1															3	–														5		
5147															3	–														5		
1															3	–														5		
5148															3	–														5		
1															3	–														5		
5149															3	–														5		
1															3	–														5		
5150															3	–														5		
1															3	–														5		
5151															3	–														5		
1															3	–														5		
5152															3	–														5		
1															3	–														5		
5153															3	–														5		
1															3	–														5		
5154															3	–														5		
1															3	–														5		
5155															3	–														5		
1															3	–														5		
5156															3	–														5		
1															3	–														5		
5157															3	–														5		
1															3	–														5		
5158															3	–														5		
1															3	–														5		
5159															3	–														5		

FORM BE-12(SF) Supplement B  
(REV. 11/97)

U.S. DEPARTMENT OF COMMERCE  
BUREAU OF ECONOMIC ANALYSIS

BEA USE ONLY

Page number

LIST OF ALL U.S. AFFILIATES IN WHICH THE REPORTING AFFILIATE (AS CONSOLIDATED) HAS A DIRECT OWNERSHIP INTEREST BUT WHICH ARE NOT FULLY CONSOLIDATED

NOTE – If you filed a Supplement B or a computer printout of Supplement B with your 1996 BE-15 report, in lieu of completing a new Supplement B, you may substitute a copy of that Supplement B or computer printout to show any additions, deletions, or other changes.

Supplement B must be completed by a reporting affiliate which files a BE-12(SF) and has a direct ownership interest in a U.S. affiliate(s) which is (are) not fully consolidated. The number of U.S. affiliates listed below must agree with item 24, Part I, of BE-12(SF). Continue listing onto as many additional copied pages as necessary.

Name of U.S. affiliate as shown in item 1, Part I of BE-12(SF)

Primary Employer Identification Number as shown in item 4, Part I of BE-12(SF)62101–

BEA USE ONLY	Name of each U.S. affiliate in which a direct interest is held but which is not named in Supplement A	Address of each U.S. affiliate named in column (2) Give number, street, city, State, and ZIP Code	Has affiliate been notified of obligation to file? Mark (X) one	Employer Identification Number used by U.S. affiliate named in column (2) to file income and payroll taxes	Percentage of direct ownership interest which the fully consolidated U.S. affiliate named in item 1, Part I, of this BE-12(SF), holds in the U.S. affiliate named in column (2). — Enter percentage to the nearest tenth.
(1)	(2)	(3)	(4)	(5)	(6)
1	2	3	4	5	6
6211			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No	–	. %
1	2	3	4	5	6
6212			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No	–	. %
1	2	3	4	5	6
6213			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No	–	. %
1	2	3	4	5	6
6214			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No	–	. %
1	2	3	4	5	6
6215			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No	–	. %
1	2	3	4	5	6
6216			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No	–	. %
1	2	3	4	5	6
6217			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No	–	. %
1	2	3	4	5	6
6218			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No	–	. %
1	2	3	4	5	6
6219			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No	–	. %
1	2	3	4	5	6
6220			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No	–	. %
1	2	3	4	5	6
6221			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No	–	. %

BE-12(SF) Supplement B – LIST OF U.S. AFFILIATES – Continued					Page number	
BEA USE ONLY	Name of each U.S. affiliate in which a direct interest is held but which is not named in Supplement A	Address of each U.S. affiliate named in column (2) <i>Give number, street, city, State, and ZIP Code</i>	Has affiliate been notified of obligation to file? <i>Mark (X) one</i>	Employer Identification Number used by U.S. affiliate named in column (2) to file income and payroll taxes	Percentage of direct ownership interest which the fully consolidated U.S. affiliate named in item 1, Part I, of this BE-12(SF), holds in the U.S. affiliate named in column (2). — <i>Enter percentage to the nearest tenth.</i> (6)	
(1)	(2)	(3)	(4)	(5)	(6)	
1	2	3	4	5	6	
6222			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No		. %	
1	2	3	4	5	6	
6223			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No		. %	
1	2	3	4	5	6	
6224			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No		. %	
1	2	3	4	5	6	
6225			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No		. %	
1	2	3	4	5	6	
6226			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No		. %	
1	2	3	4	5	6	
6227			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No		. %	
1	2	3	4	5	6	
6228			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No		. %	
1	2	3	4	5	6	
6229			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No		. %	
1	2	3	4	5	6	
6230			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No		. %	
1	2	3	4	5	6	
6231			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No		. %	
1	2	3	4	5	6	
6232			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No		. %	
1	2	3	4	5	6	
6233			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No		. %	
1	2	3	4	5	6	
6234			1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No		. %	